



DAE \$ (S)

DOCKET NO. 25748.4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
OCT 05 2001  
OFFICE OF PETITIONS

In re application of:  
Milward, et al.

Serial No.: 09/752,845

Filed: 12/28/2000

For: COMPUTER IMPLEMENTED  
METHOD FOR REFORMATTING  
LOGICALLY COMPLEX CLAUSES  
IN AN ELECTRONIC TEXT-BASED  
DOCUMENT

§ Assignee: Innogy PLC  
§  
§  
§ Group Art Unit: 2176  
§  
§ Examiner: Unknown  
§  
§ LARGE ENTITY STATUS CLAIMED  
§  
§  
§

Commissioner for Patents  
Washington, DC 20231

SUBMISSION OF FEES PURSUANT TO 37 C.F.R. § 1.28(c)

Dear Sir:

Applicants request the above-identified application be withdrawn from  
erroneously claimed SMALL ENTITY STATUS.

The subject Application was originally filed on December 28, 2000 as a large  
entity, but erroneously indicated small entity status on the Utility Patent Application  
Transmittal (copy enclosed). Applicant is a large entity and a check (copy of cancelled  
check enclosed) for the filing fee of \$710.00 was submitted at the time of the original  
filing. However, we received a credit on your Monthly Statement of Deposit Account,

dated February 28, 2001, in the amount of \$355.00.

Adjustment date: 10/05/2001 AWONDAF1  
02/22/2001 HLUNG 00000007 09752845  
01 FC:201 -355.00 OP

10/05/2001 AWONDAF1 00000025 081394 09752845  
01 FC:101 740.00 OP  
02 FC:105 130.00 OP  
03 FC:117 60.00 CH 860.00 OP

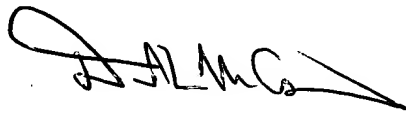
Therefore, two checks are enclosed, one in the amount of \$355.00 covering the  
\$355.00 credit made to our deposit account and the other for \$510.00 for the remaining

Adjustment date: 10/05/2001 AWONDAF1  
07/24/2001 SDIRETA1 00000022 09752845  
01 FC:205 -65.00 OP  
Adjustment date: 10/05/2001 AWONDAF1  
07/24/2001 SDIRETA1 00000022 09752845  
02 FC:117 -445.00 OP

fees for Response to Missing Parts (a copy of the original transmittal is attached). The Commissioner is hereby authorized to credit any overpayment or charge any deficiencies in fees to the Deposit Account of Haynes and Boone, LLP 08-1394. **This form is submitted in duplicate.**

As information only, enclosed also is a copy of the Request to Correct Filing Receipt and Updated Filing Receipt sent under separate cover to the Office of Initial Patent Examination's Customer Service Center.

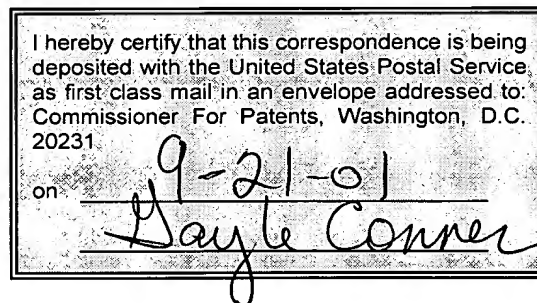
Respectfully submitted,



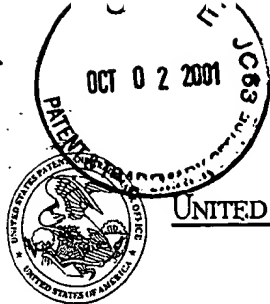
David L. McCombs  
Registration No. 32,271

Date: 21 SEPT 01

HAYNES AND BOONE, L.L.P.  
901 Main Street, Suite 3100  
Dallas, Texas 75202-3789  
Telephone: 214/651-5533  
Facsimile: 214/651-5940  
File: 25748.4



d-940182.1Submission of Fees for Large Entity Status Cla.wpd



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/752,845	12/28/2000	2176	420	25748.4	2	12	1

CONFIRMATION NO. 1023

**RECEIVED**

SEP 10 2001

HAYNES &amp; BOONE L.L.P.

UPDATED FILING RECEIPT



\*OC000000006531239\*

David L. McCombs  
Haynes and Boone, LLP  
Suite 3100  
901 Main Street  
Dallas, TX 75202

Date Mailed: 09/07/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**RECEIVED**

OCT 05 2001

OFFICE OF PETITIONS

**Applicant(s)**

David R. Milward, Cambridge, UNITED KINGDOM;  
Robert G. Corbin, Chippenham, UNITED KINGDOM;  
Stephen G. Pulman, Thriplow, UNITED KINGDOM;

**Domestic Priority data as claimed by applicant****Foreign Applications****If Required, Foreign Filing License Granted 02/22/2001****Projected Publication Date: 07/04/2002****Non-Publication Request: No****Early Publication Request: No****\*\* SMALL ENTITY \*\*****Title**

Computer implemented method for reformatting logically complex clauses in an electronic text-based document

**Preliminary Class**

CODE	DATE	ACTION
IDS	3.28.01	PREPARE IDS
FFB	6.28.01	PREP. FOREIGN FILING CLIENT LETTER

BY: TRB 9/14/01



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**COPIES**

In re application of:  
Milward et al.

Serial No.: 09/752,845

Filed: December 28, 2000

For: COMPUTER IMPLEMENTED METHOD  
FOR REFORMATTING LOGICALLY  
COMPLEX CLAUSES IN AN ELECTRONIC  
TEXT-BASED DOCUMENT

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Art Unit: 2176

Examiner: Unknown

SMALL ENTITY STATUS CLAIMED

RESPONSE AND TRANSMITTAL OF MISSING PARTS OF APPLICATION **RECEIVED**

Commissioner of Patents  
Washington, D. C. 20231  
ATTENTION: BOX MISSING PARTS

OCT 05 2001

OFFICE OF PETITIONS

Dear Sir:

In response to the Notice to File Missing Parts of NonProvisional Application Filing Date Granted mailed February 23, 2001, regarding the above-identified application, Applicant submits the following:

1. Return copy of the Notice to File Missing Parts dated February 23, 2001;
2. Executed Declaration/Power of Attorney (3 sheets);
3. Petition for Three-Month Extension of Time (in triplicate);
4. A check in the amount of \$510.00 (\$65.00 for payment of the surcharge fee and \$445.00 for three-month extension fee);
5. Letter to the Official Draftsperson and two (2) sheets of formal drawings; and
6. Return postcard

The Commissioner is hereby authorized to charge any further fees associated with this communication or to credit any overpayment to Deposit Account No. 08-1394. This transmittal letter is submitted in duplicate.

Respectfully submitted,

David L. McCombs  
Registration No. 32,271

Date: 7-10-01  
HAYNES AND BOONE, LLP  
901 Main Street, Suite 3100  
Dallas, Texas 75202-3789  
Phone: 214/651-5533  
Fax: 214/651-5940  
File: 25748.4  
d-915984.1

I hereby certify that this correspondence is  
being deposited with the United States Postal  
Service as first class mail in an envelope  
addressed to: Commissioner for Patents,  
Washington, D.C. 20231

on

7-17-01  
Hayle Conner



HAYNES AND BOONE, LLP  
901 Main Street, Suite 3100  
Dallas, Texas 75202-3789  
Telephone (214) 851-5000  
Fax (214) 651-5940

Bank of America, N.A.  
Wichita Falls, Texas

1202600

88-130  
1119

DATE 12/28/2000

VOID 90 DAYS AFTER DATE

2131.09.716.00

SEVEN HUNDRED TEN AND 00/100 DOLLAR

PAY

Commissioner of Patents

TO THE ORDER OF

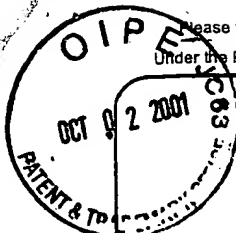
*[Signature]*

TO THE ORDER OF SIGNATURE REQUIRED OVER \$10,000.00

THIS CHECK CONTAINS A VOID FEATURE. MICRO-PRINTED SIGNATURE LINE AND AN ARTIFICIAL WATERMARK ON BACK. HOLD UNDER BLACKLIGHT TO VERIFY AUTHENTICITY.

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Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 25748.4

First Inventor Milward, et al.

Title Computer Implemented Method for Reformatting Log  
Complex Clauses in an Electronic Text-Based Document

Express Mail Label No. EL418587494US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 36]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. Oath or Declaration [Total Pages 3]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 17 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other: .....

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: .....

Prior application information:

Examiner: .....

Group / Art Unit: .....

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	David L. McCombs				
Address	Haynes and Boone, LLP				
	901 Main Street, Suite 3100				
City	Dallas	State	Texas	Zip Code	75202
Country	USA	Telephone	214.651.5533	Fax	214.200.0526

Name (Print/Type)	David L. McCombs	Registration No. (Attorney/Agent)	32,271
Signature	[Signature]		Date 12-28-00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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